



KAREN HANDEL
Commissioner of Securities

SECURITIES AND BUSINESS REGULATION
2 Martin Luther King, Jr. Drive, S.E.
Ste 802, West Tower
Atlanta, Georgia 30334
(404) 656-3920
<http://www.sos.state.ga.us/securities/>

ROBERT D. TERRY
Assistant Commissioner of Securities

SECURITIES SALESMAN'S BOND
Georgia Securities Act of 1973, as amended
O.C.G.A. §10-5-3(k)

Bond No.: _____

Effective Date: _____

KNOW ALL PERSONS BY THESE PRESENTS: That we,

_____ (Name of Salesman)

of _____ (Home Address)

_____ (Name of Surety)

of _____ (Address),

a corporation organized and existing under the laws of the State of _____ and authorized to do business in the State of Georgia, AS SURETY, are hereby held and firmly bound unto the State of Georgia, for the use and benefit of all interested persons damaged by any breach of the conditions of this obligation, in the sum of Two-Thousand Five Hundred (\$2,500.00) Dollars lawful money of the United States, for the payment of which sum, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally by these presents; provided, however, that the aggregate liabilities hereunder shall not exceed the sum of Two-Thousand Five Hundred (\$2,500.00) Dollars, regardless of the number of claimants, and shall not be construed as individual liability.

SEALED with our seals and dated this _____ day of _____, _____

THE CONDITIONS OF THE ABOVE OBLIGATION ARE SUCH THAT:

WHEREAS, the above-mentioned principal has applied for and obtained registration as a salesman under the provisions of the Georgia Securities Act of 1973, as amended, employed by

_____ (Name of Employer)

_____ of _____ (Address of Employer)

a _____ Dealer _____ (dealer or issuer)
in securities from and after the date hereof for the registration period ending December 31, _____

NOW, THEREFORE, if said principal shall faithfully comply with any and all provisions of the Georgia Securities Act of 1973, as now or hereafter amended, and any and all rules, regulations and orders issued or hereafter to be issued by the Commissioner of Securities, then this obligation shall be null and void; otherwise to be and remain in full force and effect.

IN WITNESS WHEREOF, said principal has hereunto set his or her hand and seal, and the said surety has caused these presents to be signed by its duly authorized officers, and its corporate seal to be hereto affixed

this _____ day of _____, _____

(Principal) (SEAL)

(SEAL)

(Name of Surety)

By: _____
(Name and Official Position)

COUNTERSIGNED:

NOT REQUIRED
(Resident Agent of Georgia)

(Address of Resident Agent)

NOTE: This bond expires, unless otherwise indicated, on the termination date of the registration period shown above. A new bond or continuation certificate must be executed if the salesman's registration is renewed for the following calendar year.

NOTE: Resolutions authorizing the execution of this bond should be attached. If this bond has been subscribed to by an "Attorney in Fact," there must be attached a "Power of Attorney."