Bond Number:

BROKER/DEALER OR INVESTMENT ADVISER BOND

THE CONDITIONS OF THE ABOVE OBLIGATION ARE SUCH THAT:

WHEREAS, the above mentioned principal has applied for registration, as a Broker/Dealer or Investment Adviser in securities under provisions of the New Hampshire Securities Act (RSA 421-B) from and after the date hereof for the registration period and continuous during the licensing period or until cancelled, and required to faithfully comply with any and all provisions of the New Hampshire Securities Act, as now or hereafter amended, and any and all rules, regulations and orders issued or hereafter to be issued by the Secretary of State of the State of New Hampshire.

NOW, THEREFORE, this bond shall remain in full force and remain in effect during the period of license of the principal or until cancelled. Should the Surety wish to effect cancellation, 30 day notice must be given to the Secretary of State. The suspension or revocation of the license of the Principal shall not cancel, suspend nor otherwise impair any obligation of the Surety under this bond.

IN WITNESS WHEREOF, said principal, acting by and through its duly authorized officers, has hereunto set its hand and seal and the said Surety has caused these presents to be signed by its duly authorized officers and its corporate seal to be hereto affixed this

_____ day of _____, _____.

_____ (SEAL) _____ (SEAL)
(Name of Applicant) (Name of Surety)

BY _____ BY _____
(Name and Official Position) (Name and Official Position)

Attorney-in-fact

BY _____
(Counter-Signature-NH Representative of the Insurer)

NOTE: Resolutions authorizing the execution of this bond shall be attached. If this bond has been subscribed to an "attorney-in-fact," there must be attached a "Power of Attorney".